

# Algorithms for Classical Planning

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Beijing, IJCAI 2013

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# Planning

What to do to achieve your objectives?

- Which **actions** to take to achieve your objectives?
- Number of agents
  - single agent, perfect information: s-t-reachability in succinct graphs
  - + nondeterminism/adversary: **and-or** tree search
  - + partial observability: and-or search in the space of **beliefs**

## Time

- asynchronous or instantaneous actions (integer time, unit duration)
- rational/real time, concurrency

## Objective

- Reach a goal state.
- Maximize probability of reaching a goal state.
- Maximize (expected) rewards.
- temporal goals (e.g. LTL)

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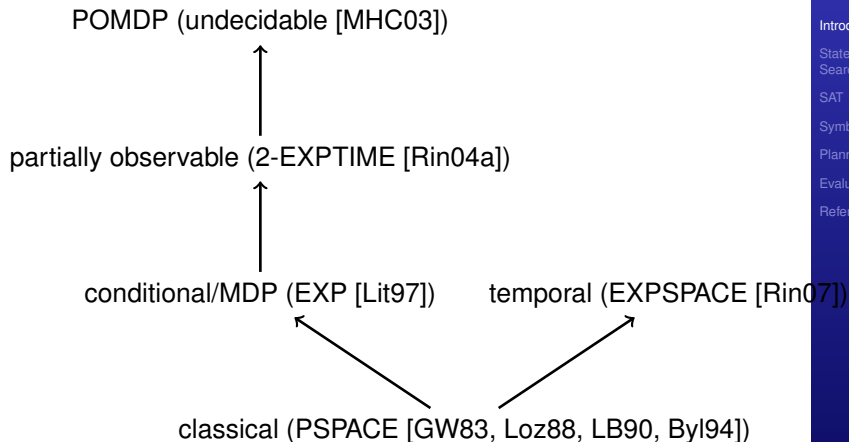
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# Hierarchy of Planning Problems



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# Classical (Deterministic, Sequential) Planning

- states and actions expressed in terms of **state variables**
- **single initial state**, that is known
- all actions **deterministic**
- actions taken **sequentially**, one at a time
- a goal state (expressed as a formula) reached in the end

Deciding whether a plan exists is **PSPACE-complete**.

With a polynomial bound on plan length, **NP-complete**.

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# Domain-Independent Planning

What is domain-independent?

- **general language** for representing problems (e.g. PDDL)
- **general algorithms** to solve problems expressed in it

Advantages and disadvantages:

- + Representation of problems at a high level
- + Fast prototyping
- + Often easy to modify and extend
- Potentially high performance penalty w.r.t. specialized algorithms
- Trade-off between generality and efficiency

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# Domain-Specific Planning

What is domain-specific?

- application-specific **representation**
- application-specific **constraints/propagators**
- application-specific **heuristics**

There are some planning systems that have aspects of these, but mostly this means: implement everything from scratch.

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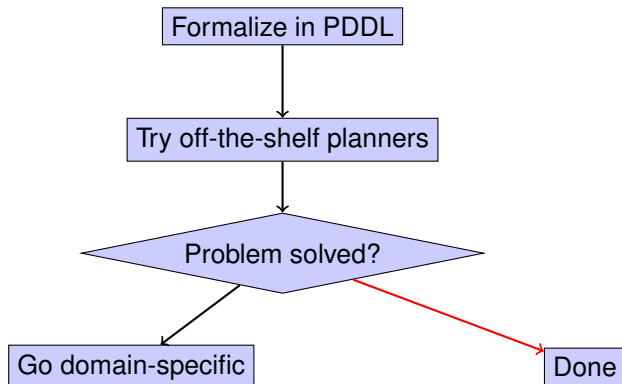
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# Domain-Dependent vs. -Independent Planning

Procedure



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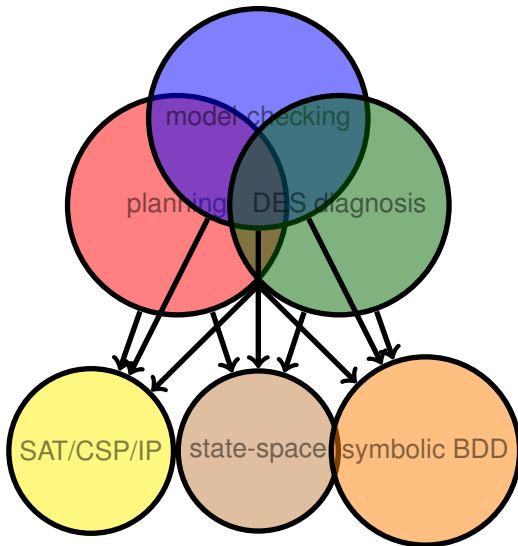
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# Related Problems, Reductions

planning, diagnosis [SSL<sup>+</sup>95], model-checking (verification)



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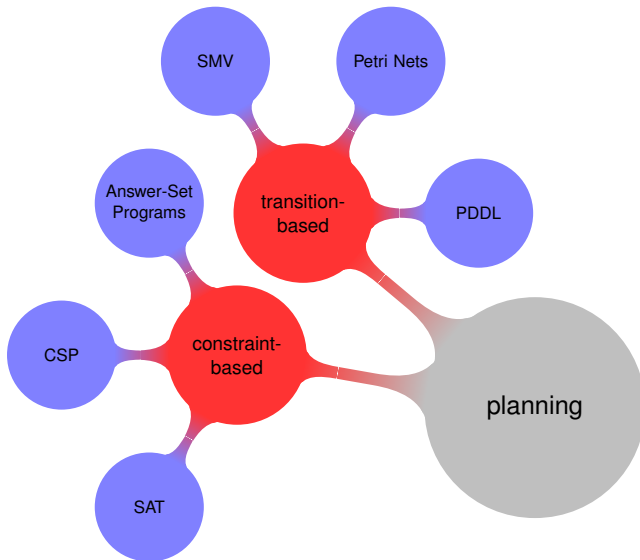
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# How to Represent Planning Problems?



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# PDDL - Planning Domain Description Language

- Defined in 1998 [McD98], with several extensions later.
- Lisp-style syntax
- Widely used in the planning community.
- Most basic version with Boolean state variables only.
- Action sets expressed as schemata instantiated with objects.

```
(:action analyze-2
  :parameters (?s1 ?s2 - segment ?c1 ?c2 - car)
  :precondition (and (CYCLE-2-WITH-ANALYSIS ?s1 ?s2)
                    (on ?c1 ?s1))
  :effect (and (not (on ?c1 ?s1))
              (on ?c2 ?s1)
              (analyzed ?c1)
              (increase (total-cost) 3)))
```

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# States

States are **valuations** of **state variables**.

## Example

State variables are

LOCATION:  $\{0, \dots, 1000\}$

GEAR:  $\{R, 1, 2, 3, 4, 5\}$

FUEL:  $\{0, \dots, 60\}$

SPEED:  $\{-20, \dots, 200\}$

DIRECTION:  $\{0, \dots, 359\}$

One state is

LOCATION = 312

GEAR = 4

FUEL = 58

SPEED = 110

DIRECTION = 90

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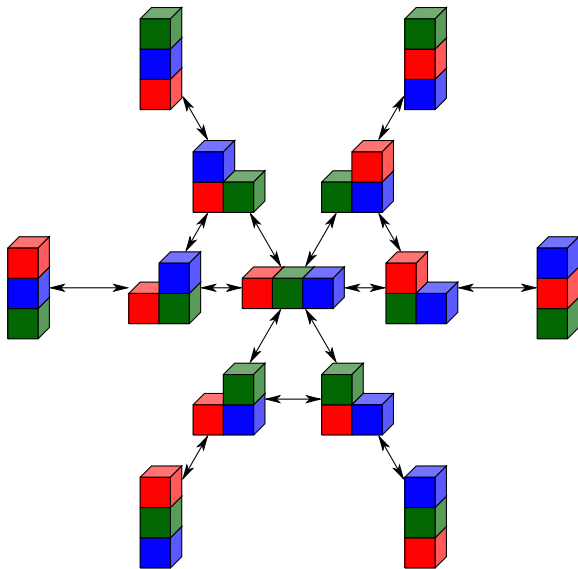
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# State-space transition graphs

Blocks world with three blocks



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# Actions

How values of state variables change

## General form

precondition:  $A=1 \wedge C=1$

effect:  $A := 0; B := 1; C := 0;$

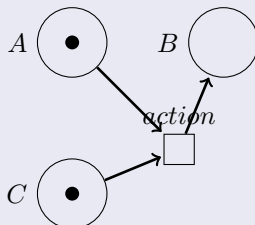
## STRIPS representation

PRE: A, C

ADD: B

DEL: A, C

## Petri net



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# Weaknesses in Existing Languages

- **High-level concepts** not easily/efficiently expressible.  
Examples: graph connectivity, transitive closure.
- Limited or no facilities to express **domain-specific** information (control, pruning, heuristics).
- The notion of classical planning is limited:
  - Real world rarely a single run of the sense-plan-act cycle.
  - Main issue often **uncertainty**, **costs**, or both.
  - Often **rational time** and concurrency are critical.

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# Formalization of Planning in This Tutorial

A problem instance in (classical) planning consists of the following.

- set  $X$  of **state variables**
- set  $A$  of actions  $\langle p, e \rangle$  where
  - $p$  is the **precondition** (a set of literals over  $X$ )
  - $e$  is the **effects** (a set of literals over  $X$ )
- initial state  $I : X \rightarrow \{0, 1\}$  (a valuation of  $X$ )
- goals  $G$  (a set of literals over  $X$ )

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# The planning problem

An action  $a = \langle p, e \rangle$  is applicable in state  $s$  iff  $s \models p$ .

The successor state  $s' = \text{exec}_a(s)$  is defined by

- $s' \models e$
- $s(x) = s'(x)$  for all  $x \in X$  that don't occur in  $e$ .

## Problem

Find  $a_1, \dots, a_n$  such that

$\text{exec}_{a_n}(\text{exec}_{a_{n-1}}(\dots \text{exec}_{a_2}(\text{exec}_{a_1}(I)) \dots)) \models G?$

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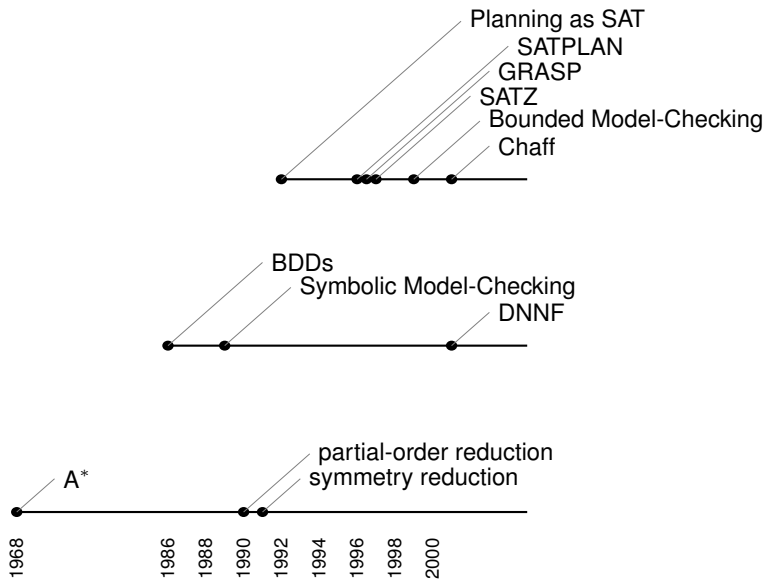
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# Development of state-space search methods



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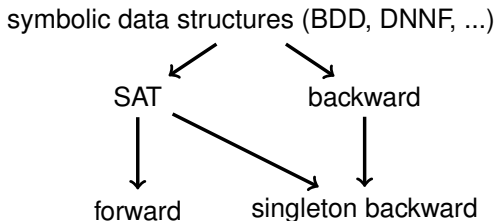
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# Symbolic Representations vs. Fwd and Bwd Search



- 1 symbolic data structures
- 2 SAT
- 3 state-space search
- 4 others: partial-order planning [MR91] (until 1995)

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# Explicit State-Space Search

- The most basic search method for transition systems
- Very efficient for small state spaces (1 million states)
- Easy to implement
- Very well understood
- Pruning methods:
  - **symmetry reduction** [Sta91, ES96]
  - **partial-order reduction** [God91, Val91]
  - lower-bounds / heuristics, for **informed search** [HNR68]

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# State Representation

Each state represented explicitly  $\Rightarrow$  compact state representation important

- Boolean (0, 1) state variables represented by **one bit**
- Inter-variable **dependencies** enable further compaction:
  - $\neg(\text{at}(A,L1) \wedge \text{at}(A,L2))$  **always true**
  - automatic recognition of **invariants** [BF97, Rin98, Rin08]
  - $n$  exclusive variables  $x_1, \dots, x_n$  represented by  $1 + \lfloor \log_2(n - 1) \rfloor$  bits

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# Search Algorithms

- uninformed/blind search: depth-first, breadth-first, ...
- informed search: “best first” search (always expand best state so far)
- informed search: local search algorithms such as simulated annealing, tabu search and others [KGJV83, DS90, Glo89] (little used in planning)
- optimal algorithms: A\* [HNR68], IDA\* [Kor85]

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# Symmetry Reduction [Sta91, ES96]

## Idea

- 1 Define an equivalence relation  $\sim$  on the set of all states:  
 $s_1 \sim s_2$  means that state  $s_1$  is symmetric with  $s_2$ .
- 2 Only one state  $s_C$  in each equivalence class  $C$  needs to be considered.
- 3 If state  $s \in C$  with  $s \neq [s_C]$  is encountered, replace it with  $s_C$ .

## Example

States  $P(A) \wedge \neg P(B) \wedge P(C)$  and  $\neg P(A) \wedge P(B) \wedge P(C)$  are symmetric because of the permutation  $A \mapsto B, B \mapsto A, C \mapsto C$ .

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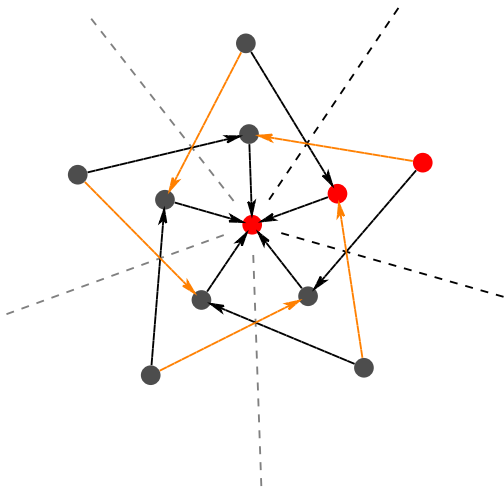
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# Symmetry Reduction

Example: 11 states, 3 equivalence classes



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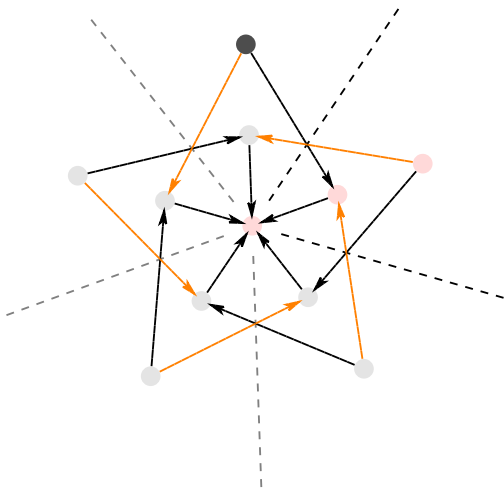
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Example: 11 states, 3 equivalence classes



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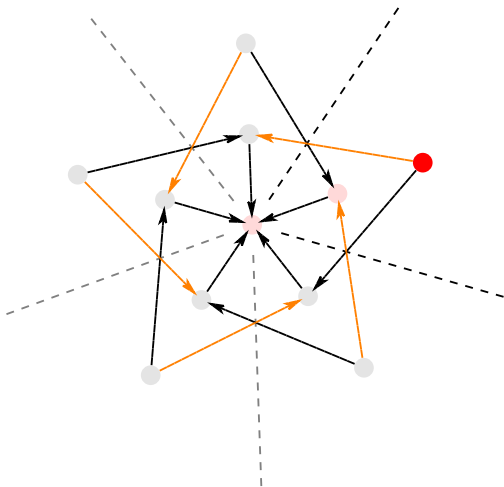
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Example: 11 states, 3 equivalence classes



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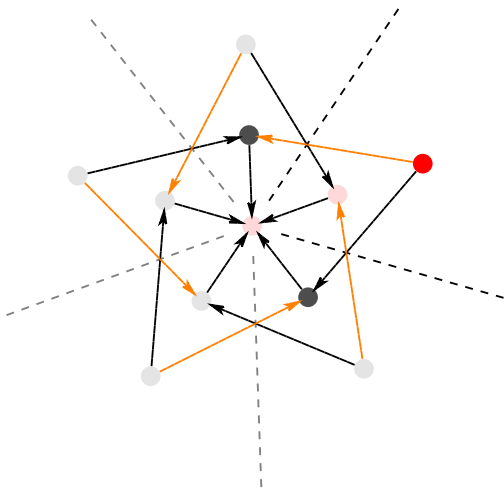
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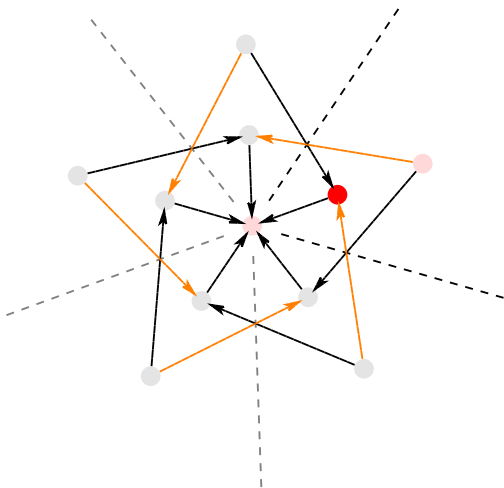
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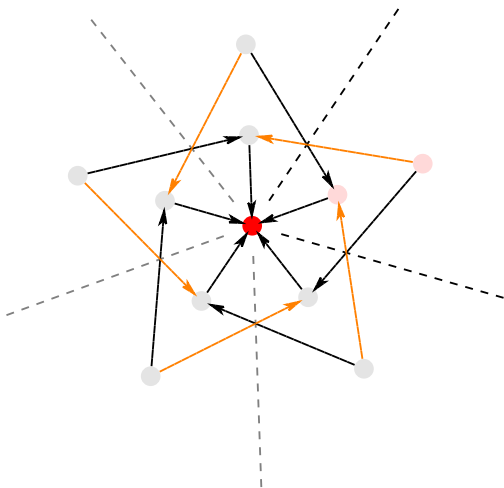
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# Partial Order Reduction

Stubborn sets and related methods

## Idea [God91, Val91]

**Independent** actions unnecessary to consider in all orderings, e.g. both  $A_1, A_2$  and  $A_2, A_1$ .

## Example

Let there be lamps  $1, 2, \dots, n$  which can be turned on. There are no other actions. One can restrict to plans in which lamps are turned on in the ascending order: switching lamp  $n$  after lamp  $m > n$  needless.<sup>a</sup>

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<sup>a</sup>The same example is trivialized also by symmetry reduction!

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# Heuristics for Classical Planning

The most basic heuristics widely used for non-optimal planning:

$h^{max}$	[BG01, McD96]	best-known admissible heuristic
$h^+$	[BG01]	still state-of-the-art
$h^{relax}$	[HN01]	often more accurate, but performs like $h^+$

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# Definition of $h^{max}$ , $h^+$ and $h^{relax}$

- Basic insight: estimate distances between possible state variable values, not states themselves.
- $g_s(l) = \begin{cases} 0 & \text{if } s \models l \\ \min_a \text{ with effect } p (1 + g_s(\text{prec}(a))) & \end{cases}$
- $h^+$  defines  $g_s(L) = \sum_{l \in L} g_s(l)$  for sets  $S$ .
- $h^{max}$  defines  $g_s(L) = \max_{l \in L} g_s(l)$  for sets  $S$ .
- $h^{relax}$  counts the number of actions in computation of  $h^{max}$ .

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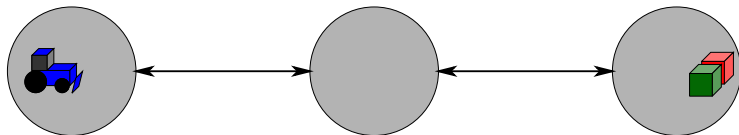
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# Computation of $h^{max}$

Tractor example



## 1 Tractor moves:

- from 1 to 2:  $T12 = \langle T1, \{T2, \neg T1\} \rangle$
- from 2 to 1:  $T21 = \langle T2, \{T1, \neg T2\} \rangle$
- from 2 to 3:  $T23 = \langle T2, \{T3, \neg T2\} \rangle$
- from 3 to 2:  $T32 = \langle T3, \{T2, \neg T3\} \rangle$

## 2 Tractor pushes A:

- from 2 to 1:  $A21 = \langle T2 \wedge A2, \{T1, A1, \neg T2, \neg A2\} \rangle$
- from 3 to 2:  $A32 = \langle T3 \wedge A3, \{T2, A2, \neg T3, \neg A3\} \rangle$

## 3 Tractor pushes B:

- from 2 to 1:  $B21 = \langle T2 \wedge B2, \{T1, B1, \neg T2, \neg B2\} \rangle$
- from 3 to 2:  $B32 = \langle T3 \wedge B3, \{T2, B2, \neg T3, \neg B3\} \rangle$

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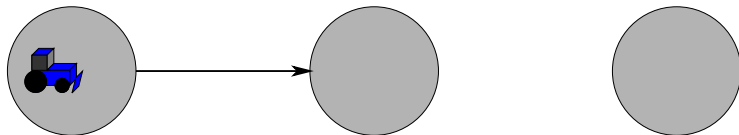
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Tractor example



$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Apply  $T_{12} = \langle T_1, \{T_2, -T_1\} \rangle$

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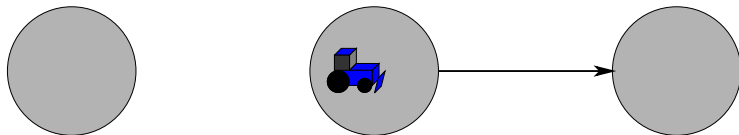
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$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Apply  $T23 = \langle T2, \{T3, \neg T2\} \rangle$

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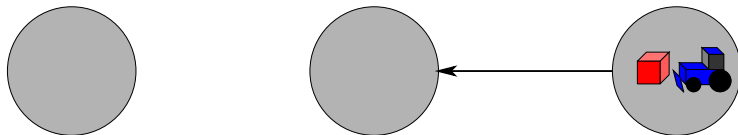
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$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Apply  $A32 = \langle T3 \wedge A3, \{T2, A2, \neg T3, \neg A3\} \rangle$

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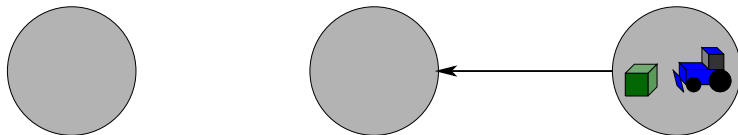
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2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Apply  $B32 = \langle T3 \wedge B3, \{T2, B2, \neg T3, \neg B3\} \rangle$

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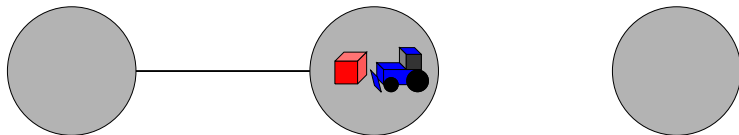
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$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Apply  $A_{21} = \langle T2 \wedge A2, \{T1, A1, \neg T2, \neg A2\} \rangle$

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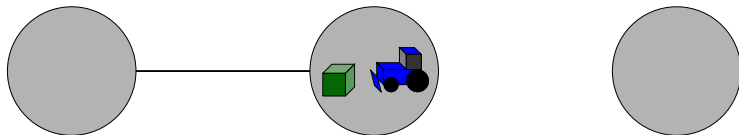
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$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Apply  $B21 = \langle T2 \wedge B2, \{T1, B1, \neg T2, \neg B2\} \rangle$

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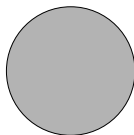
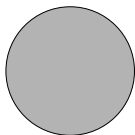
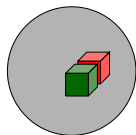
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# Computation of $h^{max}$

Tractor example



$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Distance of  $A1 \wedge B1$  is 4.

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# $h^{max}$ Underestimates

## Example

Estimate for lamp1on  $\wedge$  lamp2on  $\wedge$  lamp3on with

$\langle T, \{\text{lamp1on}\} \rangle$

$\langle T, \{\text{lamp2on}\} \rangle$

$\langle T, \{\text{lamp3on}\} \rangle$

is 1. Actual shortest plan has length 3.

By definition,  $h^{max}(G_1 \wedge \dots \wedge G_n)$  is the **maximum** of  $h^{max}(G_1), \dots, h^{max}(G_n)$ .

If goals are independent, the **sum** of the estimates is more accurate.

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# Computation of $h^+$

Tractor example

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	F	TF	TF	F	TF	TF
5	TF	TF	TF	TF	TF	TF	TF	TF	TF

Apply  $A21 = \langle T2 \wedge A2, \{T1, A1, \neg T2, \neg A2\} \rangle$ .

$h^+(T2 \wedge A2)$  is 1+3.

$h^+(A1)$  is 1+3+1 = 5 ( $h^{max}$  gives 4.)

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# Computation of $h^+$

Tractor example

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	F	TF	TF	F	TF	TF
5	TF	TF	TF	TF	TF	TF	TF	TF	TF

$h^+$  of  $A1 \wedge B1$  is  $5 + 5 = 10$ .

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# Computation of $h^{relax}$

## Motivation

actions	estimate for $a \wedge b \wedge c$		actual
	max	sum	
$\langle \top, \{a, b, c\} \rangle$	1	3	1
$\langle \top, \{a\} \rangle, \langle \top, \{b\} \rangle, \langle \top, \{c\} \rangle$	1	3	3

- Better estimates with  $h^{relax}$  (but: performance is similar to  $h^+$ ).
- Application: directing search with **preferred** actions [Vid04, RH09]

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	
1	
2	
3	

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	
1	
2	
3	A21, B21

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	
1	
2	
3	A21, B21

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	
1	
2	A32, B32
3	A21, B21

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	
1	
2	A32, B32
3	A21, B21

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	
1	T23
2	A32, B32
3	A21, B21

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	
1	T23
2	A32, B32
3	A21, B21

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	T12
1	T23
2	A32, B32
3	A21, B21

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# Computation of $h^{relax}$

$t$	T1	T2	T3	A1	A2	A3	B1	B2	B3
0	T	F	F	F	F	T	F	F	T
1	TF	TF	F	F	F	T	F	F	T
2	TF	TF	TF	F	F	T	F	F	T
3	TF	TF	TF	F	TF	TF	F	TF	TF
4	TF	TF	TF	TF	TF	TF	TF	TF	TF

Estimate for  $A1 \wedge B1$  with relaxed plans:

$t$	relaxed plan
0	T12
1	T23
2	A32, B32
3	A21, B21

estimate = number of actions in relaxed plan = 6

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# Comparison of the Heuristics

- For the Tractor example:
  - actions in the shortest plan: 8
  - $h^{max}$  yields 4 (never overestimates).
  - $h^+$  yields 10 (may under or overestimate).
  - $h^{relax}$  yield 6 (may under or overestimate).
- The sum-heuristic and the relaxed plan heuristic are used in practice for non-optimal planners.

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# Preferred Actions

- $h^+$  and  $h^{relax}$  boosted with preferred/helpful actions.
- Preferred actions on the first level  $t = 0$  in a relaxed plan.
- Several possibilities:
  - Always expand with a preferred action when possible [Vid04].
  - A tie-breaker when the heuristic values agree [RH09].
- Planners based on explicit state-space search use them: YAHSP, LAMA.

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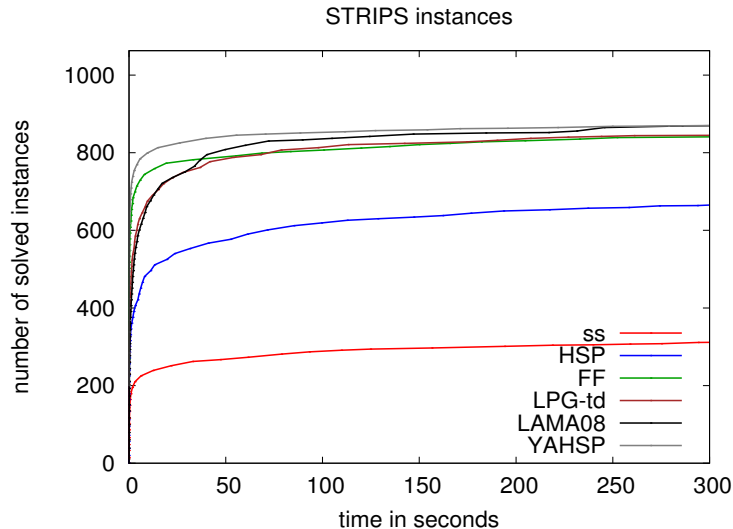
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# Performance of State-Space Search Planners

Planning Competition Problems



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# Heuristics for Optimal Planning

**Admissible heuristics** are needed for finding **optimal** plans, e.g. with A\* [HNR68]. Scalability much poorer.

## Pattern Databases [CS96, Ede00]

**Abstract away** many/most state variables, and use the length/cost of the optimal solution to the remaining problem as an estimate.

## Generalized Abstraction (merge and shrink) [DFP09, HHH07]

A generalization of pattern databases, allowing more complex aggregation of states (not just identification of ones agreeing on a subset of state variables.)

Landmark-cut [HD09] has been doing well with planning competition problems.

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# Planning with SAT

## Background

- Proposed by Kautz and Selman [KS92].
- Idea as in Cook's proof of NP-hardness of SAT [Coo71]: encode each step of a plan as a propositional formula.
- Intertranslatability of NP-complete problems  $\Rightarrow$  reductions to many other problems possible.

### Related solution methods

constraint satisfaction (CSP)	[vBC99, DK01]
NM logic programs / answer-set programs	[DNK97]

Translations from SAT into other formalisms often simple. In terms of performance, SAT is usually the best choice.

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# Transition relations in propositional logic

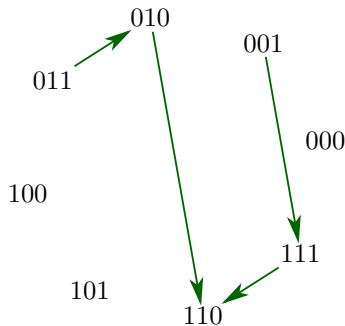
State variables are

$$X = \{a, b, c\}.$$

$$\begin{aligned} &(\neg a \wedge b \wedge c \wedge \neg a' \wedge b' \wedge \neg c') \vee \\ &(\neg a \wedge b \wedge \neg c \wedge a' \wedge b' \wedge \neg c') \vee \\ &(\neg a \wedge \neg b \wedge c \wedge a' \wedge b' \wedge c') \vee \\ &(a \wedge b \wedge c \wedge a' \wedge b' \wedge \neg c') \end{aligned}$$

The corresponding matrix is

	000	001	010	011	100	101	110	111
000	0	0	0	0	0	0	0	0
001	0	0	0	0	0	0	0	1
010	0	0	0	0	0	0	1	0
011	0	0	1	0	0	0	0	0
100	0	0	0	0	0	0	0	0
101	0	0	0	0	0	0	0	0
110	0	0	0	0	0	0	0	0
111	0	0	0	0	0	0	1	0



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# Encoding of Actions as Formulas

for Sequential Plans

An action  $j$  corresponds to the conjunction of **the precondition**  $P_j@t$  and

$$x_i@(t+1) \leftrightarrow F_i(x_1@t, \dots, x_n@t)$$

for all  $i \in \{1, \dots, n\}$ . Denote this by  $E_j@t$ .

## Example (move-from-X-to-Y)

$$\underbrace{atX@t}_{\text{precond}} \wedge \underbrace{(atX@(t+1) \leftrightarrow \perp) \wedge (atY@(t+1) \leftrightarrow \top) \wedge (atZ@(t+1) \leftrightarrow atZ@t) \wedge (atU@(t+1) \leftrightarrow atU@t)}_{\text{effects}}$$

Choice between actions  $1, \dots, m$  expressed by the formula

$$\mathcal{R}@t = E_1@t \vee \dots \vee E_m@t.$$

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# Finding a Plan with SAT

Let

- $I$  be a formula expressing the initial state, and
- $G$  be a formula expressing the goal states.

Then a plan of length  $T$  exists iff

$$I@0 \wedge \bigwedge_{t=0}^{T-1} \mathcal{R}@t \wedge G_T$$

is satisfiable.

## Remark

*Most SAT solvers require formulas to be in CNF. There are efficient transformations to achieve this [Tse62, JS05, MV07].*

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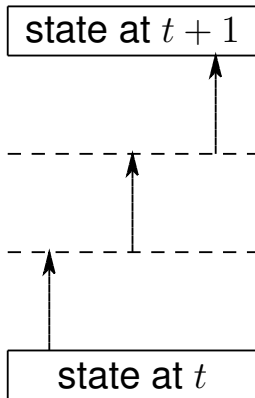
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# Parallel Plans: Motivation

- Don't represent all **intermediate states** of a sequential plan.
- Ignore **relative ordering** of consecutive actions.
- Reduced number of explicitly represented states  $\Rightarrow$  smaller formulas



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# Parallel plans ( $\forall$ -step plans)

Kautz and Selman 1996

Allow actions  $a_1 = \langle p_1, e_1 \rangle$  and  $a_2 = \langle p_2, e_2 \rangle$  in parallel whenever they don't **interfere**, i.e.

- both  $p_1 \cup p_2$  and  $e_1 \cup e_2$  are consistent, and
- both  $e_1 \cup p_2$  and  $e_2 \cup p_1$  are consistent.

## Theorem

*If  $a_1 = \langle p_1, e_1 \rangle$  and  $a_2 = \langle p_2, e_2 \rangle$  don't interfere and  $s$  is a state such that  $s \models p_1$  and  $s \models p_2$ , then*  
$$\text{exec}_{a_1}(\text{exec}_{a_2}(s)) = \text{exec}_{a_2}(\text{exec}_{a_1}(s)).$$

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# $\forall$ -step plans: encoding

Define  $\mathcal{R}^\forall @t$  as the conjunction of

$$x@(t+1) \leftrightarrow ((x@t \wedge \neg a_1@t \wedge \dots \wedge \neg a_k@t) \vee a'_1@t \vee \dots \vee a'_{k'}@t)$$

for all  $x \in X$ , where  $a_1, \dots, a_k$  are all actions making  $x$  false, and  $a'_1, \dots, a'_{k'}$  are all actions making  $x$  true, and

$$a@t \rightarrow l@t \text{ for all } l \text{ in the precondition of } a,$$

and

$$\neg(a@t \wedge a'@t) \text{ for all } a \text{ and } a' \text{ that interfere.}$$

This encoding is **quadratic** due to the interference clauses.

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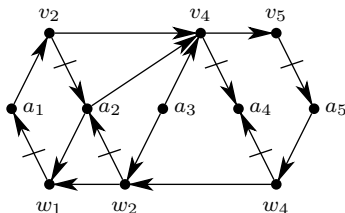
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# $\forall$ -step plans: linear encoding

Rintanen et al. 2006 [RHN06]

Action  $a$  with effect  $l$  **disables** all actions with precondition  $\bar{l}$ , **except**  $a$  itself.

This is done in two parts: disable actions **with higher index**,  
disable actions **with lower index**.



This is needed for every literal.

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Allow actions  $\{a_1, \dots, a_n\}$  in parallel if they can be executed in **at least one** order.

- $\bigcup_{i=1}^n p_i$  is consistent.
- $\bigcup_{i=1}^n e_i$  is consistent.
- There is a total ordering  $a_1, \dots, a_n$  such that  $e_i \cup p_j$  is consistent whenever  $i \leq j$ : disabling an action earlier in the ordering is allowed.

Several compact encodings exist [RHN06].

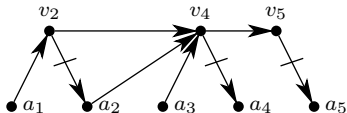
Fewer time steps are needed than with  $\forall$ -step plans. Sometimes only half as many.

# $\exists$ -step plans: linear encoding

Rintanen et al. 2006 [RHN06]

Choose an **arbitrary fixed ordering** of all actions  $a_1, \dots, a_n$ .

Action  $a$  with effect  $l$  disables all **later** actions with precondition  $\bar{l}$ .



This is needed for every literal.

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# Disabling graphs

Rintanen et al. 2006 [RHN06]

Define a **disabling graph** with actions as nodes and with an arc from  $a_1$  to  $a_2$  ( $a_1$  **disables**  $a_2$ ) if  $p_1 \cup p_2$  and  $e_1 \cup e_2$  are consistent and  $e_1 \cup p_2$  is inconsistent.

The test for valid execution orderings can be limited to strongly connected components (SCC) of the disabling graph.

In many structured problems all SCCs are singleton sets.

$\implies$  No tests for validity of orderings needed during SAT solving.

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# Summary of Notions of Plans

plan type	reference	comment
sequential	[KS92]	one action per time point
$\forall$ -parallel	[BF97, KS96]	parallel actions independent
$\exists$ -parallel	[DNK97, RHN06]	executable in at least one order

The last two expressible in terms of the relation **disables** restricted to **applied actions**:

- $\forall$ -parallel plans: the **disables** relation is **empty**.
- $\exists$ -parallel plans: the **disables** relation is **acyclic**.

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# Search through Horizon Lengths

The planning problem is reduced to the satisfiability tests for

$$\Phi_0 = I@0 \wedge G@0$$

$$\Phi_1 = I@0 \wedge \mathcal{R}@0 \wedge G@1$$

$$\Phi_2 = I@0 \wedge \mathcal{R}@0 \wedge \mathcal{R}@1 \wedge G@2$$

$$\Phi_3 = I@0 \wedge \mathcal{R}@0 \wedge \mathcal{R}@1 \wedge \mathcal{R}@2 \wedge G@3$$

$\vdots$

$$\Phi_u = I@0 \wedge \mathcal{R}@0 \wedge \mathcal{R}@1 \wedge \dots \wedge \mathcal{R}@_{(u-1)} \wedge G@u$$

where  $u$  is the maximum possible plan length.

Q: How to schedule these satisfiability tests?

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# Search through Horizon Lengths

algorithm	reference	comment
sequential	[KS92, KS96]	slow, guarantees min. horizon
binary search	[SS07]	prerequisite: length UB
$n$ processes	[Rin04b, Zar04]	fast, more memory needed
geometric	[Rin04b]	fast, more memory needed

- sequential: first test  $\Phi_0$ , then  $\Phi_1$ , then  $\Phi_2, \dots$ 
  - This is breadth-first search / iterative deepening.
  - Guarantees shortest horizon length, but is slow.
- parallel strategies: solve several horizon lengths simultaneously
  - depth-first flavor
  - usually much faster
  - no guarantee of minimal horizon length

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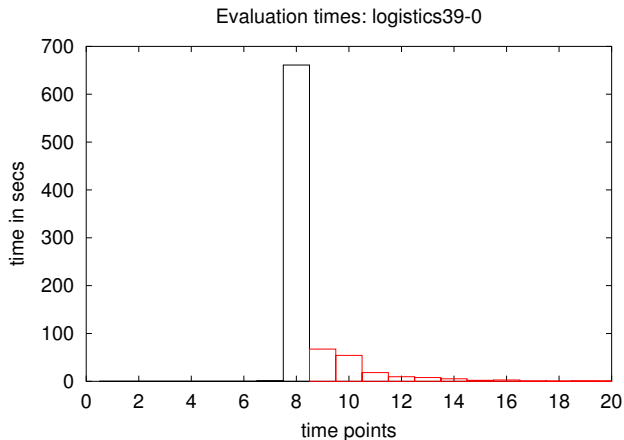
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# Some runtime profiles



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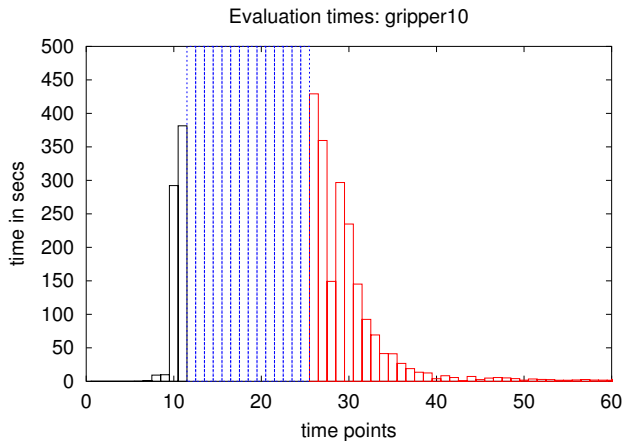
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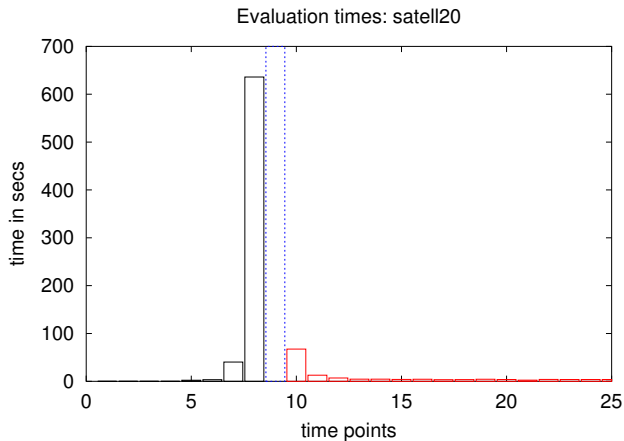
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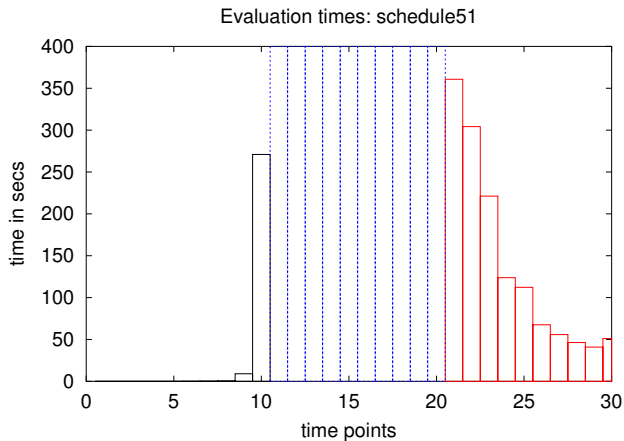
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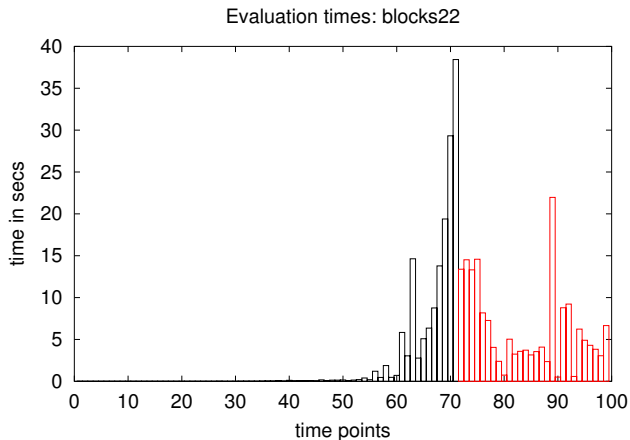
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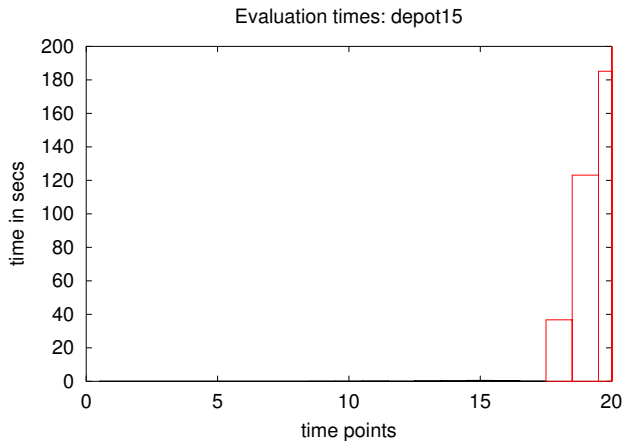
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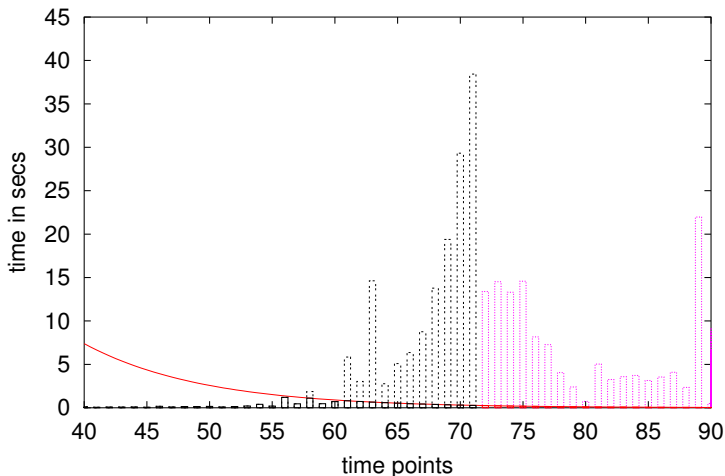
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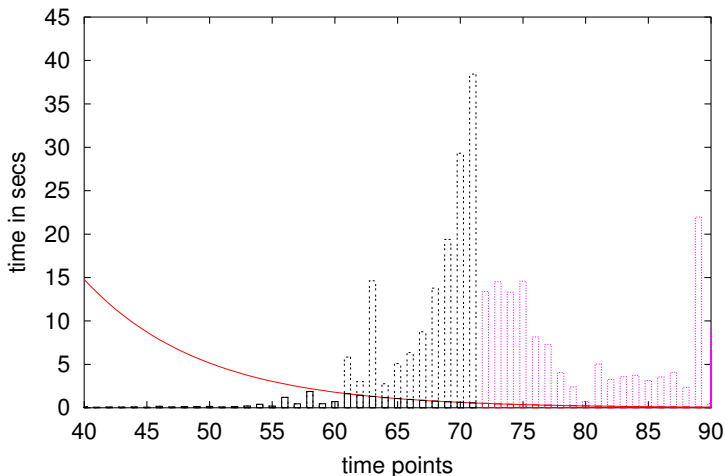
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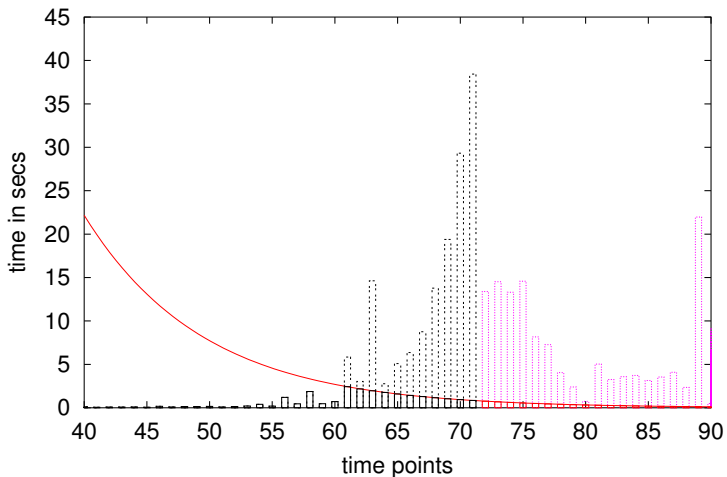
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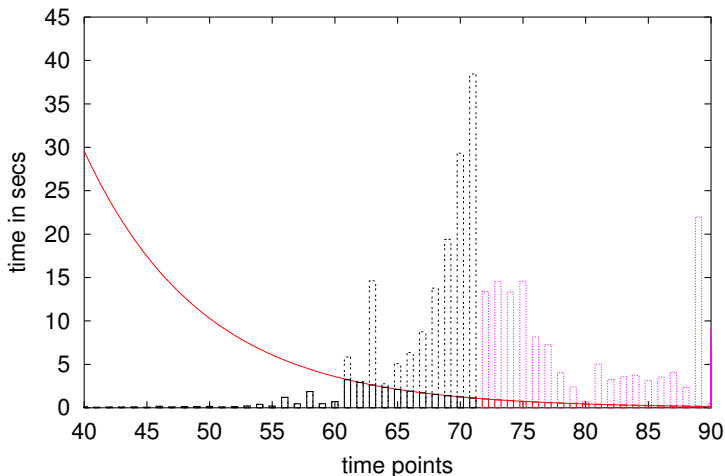
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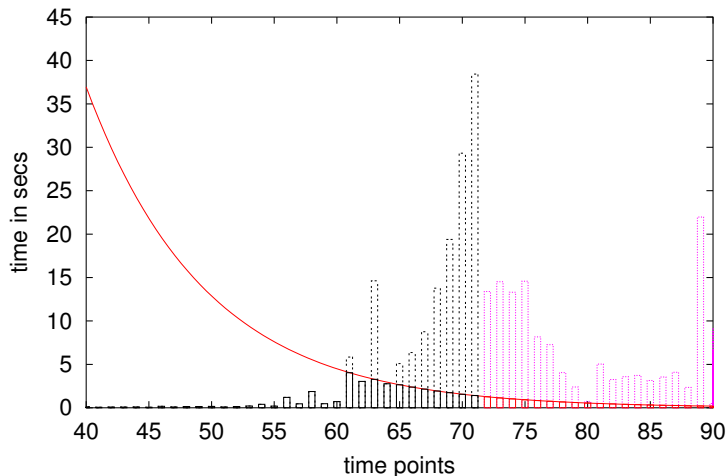
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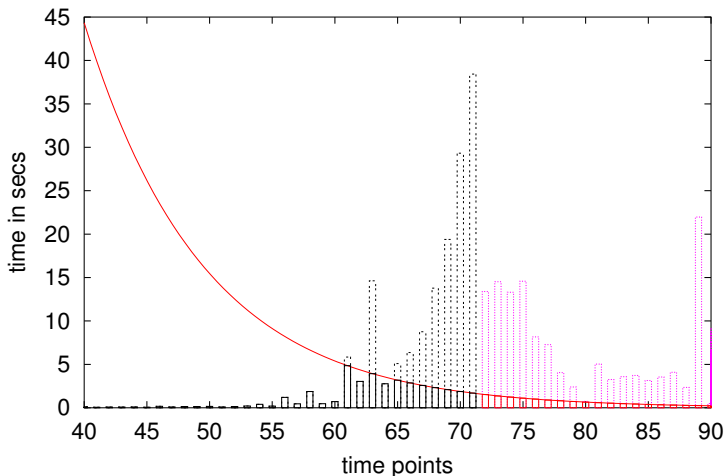
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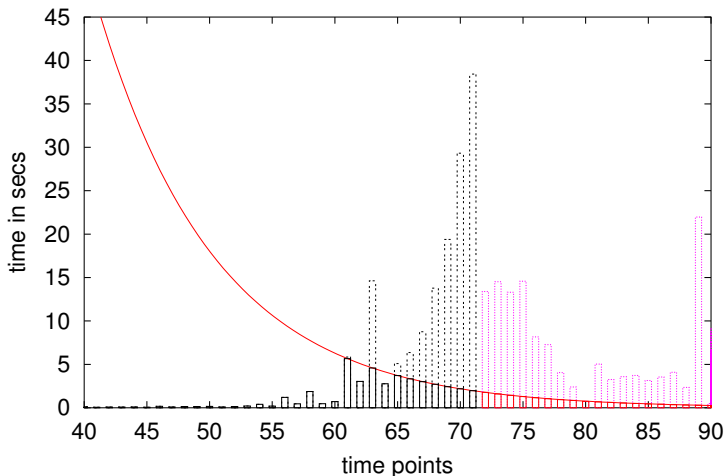
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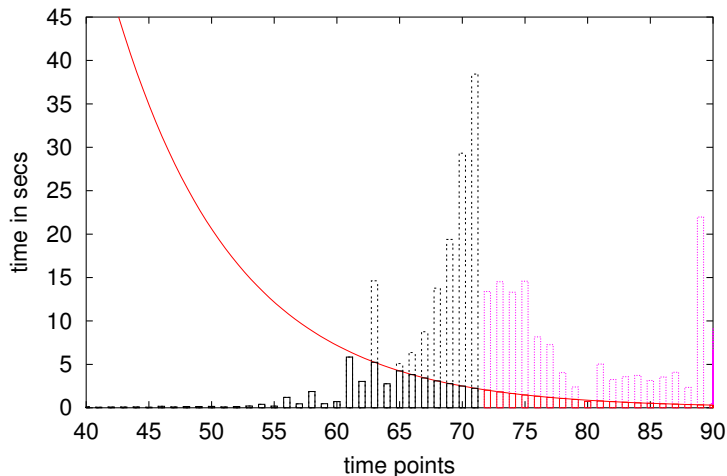
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# Solving the SAT Problem

SAT problems obtained from planning are solved by

- generic SAT solvers
  - Mostly based on **Conflict-Driven Clause Learning** (CDCL) [MMZ<sup>+</sup>01].
  - Extremely good on hard combinatorial planning problems.
  - Not designed for solving the extremely large but “easy” formulas (arising in some types of benchmark problems).
- specialized SAT solvers [Rin10b, Rin10a]
  - Replace standard CDCL heuristics with planning-specific ones.
  - For certain problem classes substantial improvement
  - New research topic: lots of unexploited potential

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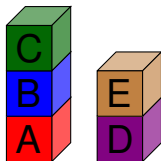
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# Solving the SAT Problem

## Example

initial state



goal state



Problem solved almost without search:

- Formulas for lengths 1 to 4 shown unsatisfiable without any search.
- Formula for plan length 5 is satisfiable: 3 nodes in the search tree.
- Plans have 5 to 7 operators, optimal plan has 5.

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## Example

	0	1	2	3	4	5
clear(a)	F	F				
clear(b)	F		F			
clear(c)	T	T		F	F	
clear(d)	F	T	T	F	F	F
clear(e)	T	T	F	F	F	F
on(a,b)	F	F	F	T		
on(a,c)	F	F	F	F	F	F
on(a,d)	F	F	F	F	F	F
on(a,e)	F	F	F	F	F	F
on(b,a)	T	T		F	F	
on(b,c)	F	F		T	T	
on(b,d)	F	F	F	F	F	F
on(b,e)	F	F	F	F	F	F
on(c,a)	F	F	F	F	F	F
on(c,b)	T		F	F		
on(c,d)	F	F	T	T	T	
on(c,e)	F	F	F	F	F	F
on(d,a)	F	F	F	F	F	F
on(d,b)	F	F	F	F	F	F
on(d,c)	F	F	F	F	F	F
on(d,e)	F	F	T	T	T	
on(e,a)	F	F	F	F	F	F
on(e,b)	F	F	F	F	F	F
on(e,c)	F	F	F	F	F	F
on(e,d)	T	F	F	F	F	F
ontable(a)	T	T	T		F	
ontable(b)	F	F		F	F	
ontable(c)	F		F	F	F	
ontable(d)	T	T	F	F	F	F
ontable(e)	F	T	T	T	T	T

1 State variable values inferred from **initial values** and **goals**.

2 Branch:  $\neg \text{clear}(b)$ <sup>1</sup>.

3 Branch:  $\text{clear}(a)$ <sup>3</sup>.

4 Plan found:

	0	1	2	3	4
fromtable(a,b)	F	F	F	F	T
fromtable(b,c)	F	F	F	T	F
fromtable(c,d)	F	F	T	F	F
fromtable(d,e)	F	T	F	F	F
totable(b,a)	F	F	T	F	F
totable(c,b)	F	T	F	F	F
totable(e,d)	T	F	F	F	F

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# Solving the SAT Problem

## Example

	0	1	2	3	4	5
clear(a)	F	F				
clear(b)	F		F			
clear(c)	T	T		F	F	
clear(d)	F	T	T	F	F	F
clear(e)	T	T	F	F	F	F
on(a,b)	F	F	F	T		
on(a,c)	F	F	F	F	F	F
on(a,d)	F	F	F	F	F	F
on(a,e)	F	F	F	F	F	F
on(b,a)	T	T		F	F	
on(b,c)	F	F		T	T	
on(b,d)	F	F	F	F	F	F
on(b,e)	F	F	F	F	F	F
on(c,a)	F	F	F	F	F	F
on(c,b)	T		F	F	F	
on(c,d)	F	F	F	T	T	
on(c,e)	F	F	F	F	F	F
on(d,a)	F	F	F	F	F	F
on(d,b)	F	F	F	F	F	F
on(d,c)	F	F	F	F	F	F
on(d,e)	F	F	T	T	T	T
on(e,a)	F	F	F	F	F	F
on(e,b)	F	F	F	F	F	F
on(e,c)	F	F	F	F	F	F
on(e,d)	T	F	F	F	F	F
ontable(a)	T	T	T		F	
ontable(b)	F	F		F	F	
ontable(c)	F		F	F	F	
ontable(d)	T	T	F	F	F	F
ontable(e)	F	T	T	T	T	T

1 State variable values inferred from **initial values** and **goals**.

2 Branch:  $\neg$ clear(b)<sup>1</sup>.

3 Branch: clear(a)<sup>3</sup>.

4 Plan found:

	0	1	2	3	4
fromtable(a,b)	F	F	F	F	T
fromtable(b,c)	F	F	F	T	F
fromtable(c,d)	F	F	T	F	F
fromtable(d,e)	F	T	F	F	F
totable(b,a)	F	F	T	F	F
totable(c,b)	F	T	F	F	F
totable(e,d)	T	F	F	F	F

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## Example

	0	1	2	3	4	5		0	1	2	3	4	5		0	1	2	3	4	5
clear(a)	F	F						F	F	T	T				F	F	T	T		
clear(b)	F		F					F	F	T	T	F			F	F	T	T	F	
clear(c)	T	T		F	F			T	T	T	T	F	F		T	T	T	T	F	F
clear(d)	F	T	T	F	F	F		F	T	T	F	F	F		F	T	T	F	F	F
clear(e)	T	T	F	F	F	F		T	T	F	F	F	F		T	T	F	F	F	F
on(a,b)	F	F	F	F	T			F	F	F	F	T			F	F	F	F	T	
on(a,c)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(a,d)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(a,e)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(b,a)	T	T		F	F			T	T		F	F			T	T		F	F	
on(b,c)	F	F		T	T			F	F	F	T	T			F	F	F	T	T	
on(b,d)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(b,e)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(c,a)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(c,b)	T		F	F	F			T		F	F	F			T		F	F	F	
on(c,d)	F	F	F	T	T	T		F	F	F	T	T	T		F	F	F	T	T	T
on(c,e)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(d,a)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(d,b)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(d,c)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(d,e)	F	F	T	T	T	T		F	F	T	T	T	T		F	F	T	T	T	T
on(e,a)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(e,b)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(e,c)	F	F	F	F	F	F		F	F	F	F	F	F		F	F	F	F	F	F
on(e,d)	T	F	F	F	F	F		T	F	F	F	F	F		T	F	F	F	F	F
ontable(a)	T	T	T		F			T	T	T	T	F			T	T	T		F	
ontable(b)	F	F		F	F			F	F		F	F			F	F		F	F	
ontable(c)	F		F	F	F			F	F		F	F	F		F	F		F	F	F
ontable(d)	T	T	F	F	F	F		T	T	F	F	F	F		T	T	F	F	F	F
ontable(e)	F	T	T	T	T	T		F	T	T	T	T	T		F	T	T	T	T	T

- 1 State variable values inferred from **initial values** and **goals**.
- 2 Branch:  $\neg \text{clear}(b)$ <sup>1</sup>.
- 3 Branch:  $\text{clear}(a)$ <sup>3</sup>.
- 4 Plan found:

	0	1	2	3	4
fromtable(a,b)	F	F	F	F	T
fromtable(b,c)	F	F	F	T	F
fromtable(c,d)	F	F	T	F	F
fromtable(d,e)	F	T	F	F	F
totable(b,a)	F	F	T	F	F
totable(c,b)	F	T	F	F	F
totable(e,d)	T	F	F	F	F

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## Example

	0	1	2	3	4	5
clear(a)	F	F				
clear(b)	F		F			
clear(c)	T	T		F	F	
clear(d)	F	T	T	F	F	F
clear(e)	T	T	F	F	F	F
on(a,b)	F	F	F	F	T	
on(a,c)	F	F	F	F	F	F
on(a,d)	F	F	F	F	F	F
on(a,e)	F	F	F	F	F	F
on(b,a)	T	T		F	F	
on(b,c)	F	F		T	T	
on(b,d)	F	F	F	F	F	F
on(b,e)	F	F	F	F	F	F
on(c,a)	F	F	F	F	F	F
on(c,b)	T		F	F	F	
on(c,d)	F	F	F	T	T	
on(c,e)	F	F	F	F	F	F
on(d,a)	F	F	F	F	F	F
on(d,b)	F	F	F	F	F	F
on(d,c)	F	F	F	F	F	F
on(d,e)	F	F	T	T	T	
on(e,a)	F	F	F	F	F	F
on(e,b)	F	F	F	F	F	F
on(e,c)	F	F	F	F	F	F
on(e,d)	T	F	F	F	F	F
ontable(a)	T	T	T		F	
ontable(b)	F	F		F	F	
ontable(c)	F		F	F	F	
ontable(d)	T	T	F	F	F	F
ontable(e)	F	T	T	T	T	T

1 State variable values inferred from **initial values** and **goals**.

2 Branch:  $\neg$ clear(b)<sup>1</sup>.

3 Branch: clear(a)<sup>3</sup>.

4 Plan found:

	0	1	2	3	4
fromtable(a,b)	F	F	F	F	T
fromtable(b,c)	F	F	F	T	F
fromtable(c,d)	F	F	T	F	F
fromtable(d,e)	F	T	F	F	F
totable(b,a)	F	F	T	F	F
totable(c,b)	F	T	F	F	F
totable(e,d)	T	F	F	F	F

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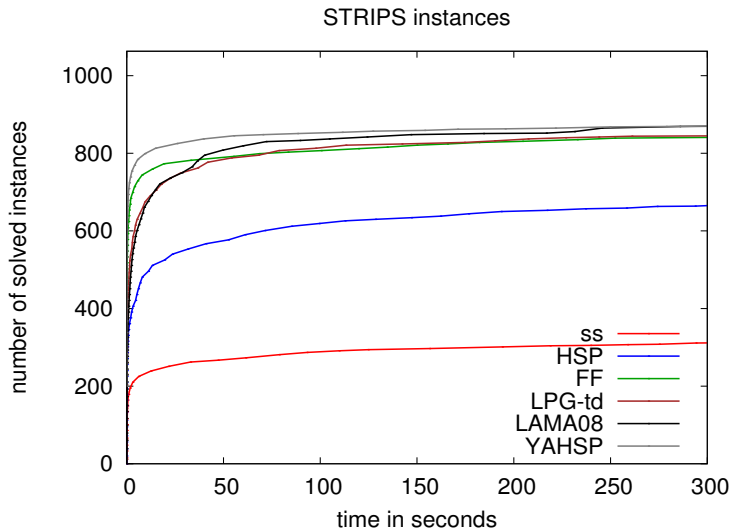
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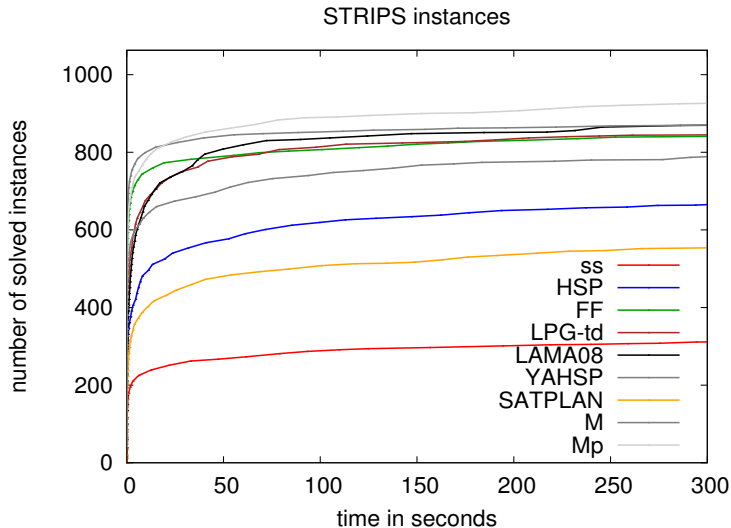
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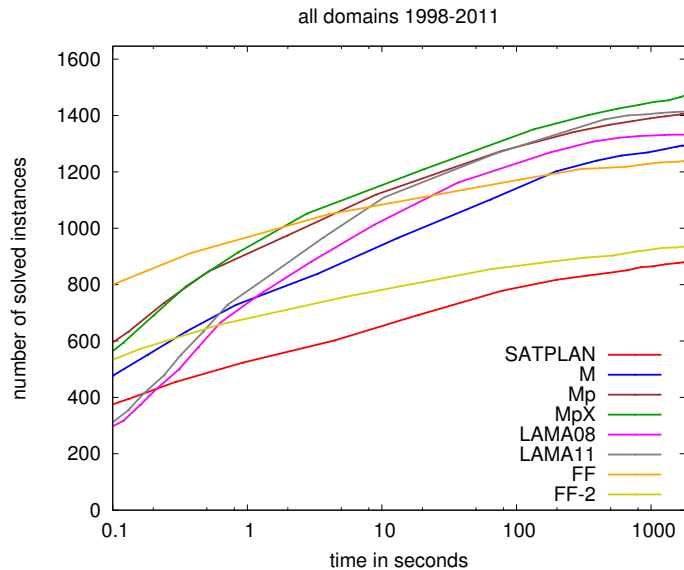
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# Extensions

MathSAT [BBC<sup>+</sup>05] and other **SAT modulo Theories (SMT)** solvers extend SAT with **numerical variables** and equalities and inequalities.

Applications include:

- timed systems [ACKS02], temporal planning
- hybrid systems [GPB05, ABCS05], temporal planning + continuous change

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# Symbolic Search Methods

## Motivation

- **logical formulas** as **a data structure** for sets, relations
- Planning (model-checking, diagnosis, ...) algorithms in terms of set & relational operations.
- Algorithms that can handle **very large** state sets efficiently, bypassing inherent limitations of explicit state-space search.
- **Complementary** to explicit (enumerative) representations of state sets: strengths in different types of problems.

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# Transition relations in propositional logic

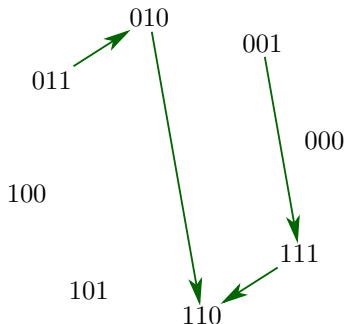
State variables are

$$X = \{a, b, c\}.$$

$$\begin{aligned} &(\neg a \wedge b \wedge c \wedge \neg a' \wedge b' \wedge \neg c') \vee \\ &(\neg a \wedge b \wedge \neg c \wedge a' \wedge b' \wedge \neg c') \vee \\ &(\neg a \wedge \neg b \wedge c \wedge a' \wedge b' \wedge c') \vee \\ &(a \wedge b \wedge c \wedge a' \wedge b' \wedge \neg c') \end{aligned}$$

The corresponding matrix is

	000	001	010	011	100	101	110	111
000	0	0	0	0	0	0	0	0
001	0	0	0	0	0	0	0	1
010	0	0	0	0	0	0	1	0
011	0	0	1	0	0	0	0	0
100	0	0	0	0	0	0	0	0
101	0	0	0	0	0	0	0	0
110	0	0	0	0	0	0	0	0
111	0	0	0	0	0	0	1	0



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# Operations

The **image** of a set  $T$  of states w.r.t. action  $a$  is

$$img_a(T) = \{s' \in S \mid s \in T, sas'\}.$$

The **pre-image** of a set  $T$  of states w.r.t. action  $a$  is

$$preimg_a(T) = \{s \in S \mid s' \in T, sas'\}.$$

These operations reduce to the relational **join** and **projection** operations with a logic-representation of sets (unary relations) and binary relations.

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# Finding Plans with a Symbolic Algorithm

## Computation of all reachable states

$$S_0 = \{I\}$$
$$S_{i+1} = S_i \cup \bigcup_{x \in X} \text{img}_x(S_i)$$

If  $S_i = S_{i+1}$ , then  $S_j = S_i$  for all  $j \geq i$ , and the computation can be terminated.

- $S_i, i \geq 0$  is the set of states with distance  $\leq i$  from the initial state.
- $S_i \setminus S_{i-1}, i \geq 1$  is the set of states with distance  $i$ .
- If  $G \cap S_i$  for some  $i \geq 0$ , then there is a plan.

Action sequence recovered from sets  $S_i$  by a sequence of backward-chaining steps.

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# Use in Connection with Heuristic Search Algorithms

Symbolic (BDD) versions of heuristic algorithms in the state-space search context:

- SetA\* [JVB08]
- BDDA\* [ER98]
- ADDA\* [HZF02]

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# Use in Connection with More General Problems

- BDDs and other normal forms standard representation in **planning with partial observability** [BCRT01, Rin05]. Also, probabilistic planning [HSAHB99] with **value functions** represented as **Algebraic Decision Diagrams (ADD)** [FMY97, BFG<sup>+</sup>97].
- A **belief state** is a set of possible current states.
- These sets are often very large, best represented as formulas.

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# Significance of Symbolic Representations

- Much more powerful framework than SAT or explicit state-space search.
- Unlike other methods, allows **exhaustive generation** of reachable states.
- Problem 1: e.g. with BDDs, size of transition relation may explode.
- Problem 2: e.g. with BDDs, size of sets  $S_i$  may explode.
- Important research topic: symbolic search with less restrictive normal forms than BDD.

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# Images as Relational Operations

$$\begin{array}{|c|} \hline s_0 \\ \hline s_2 \\ \hline \end{array} \bowtie \begin{array}{|c|c|} \hline s_0 & s_1 \\ \hline s_0 & s_2 \\ \hline s_1 & s_0 \\ \hline s_1 & s_2 \\ \hline s_2 & s_0 \\ \hline \end{array} = \begin{array}{|c|c|} \hline s_0 & s_1 \\ \hline s_0 & s_2 \\ \hline s_2 & s_0 \\ \hline \end{array}$$

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# Images as Relational Operations

$$\begin{array}{|c|} \hline s_0 \\ \hline s_2 \\ \hline \end{array} \bowtie \begin{array}{|c|c|} \hline s_0 & s_1 \\ \hline s_0 & s_2 \\ \hline s_1 & s_0 \\ \hline s_1 & s_2 \\ \hline s_2 & s_0 \\ \hline \end{array} = \begin{array}{|c|c|} \hline s_0 & \mathbf{s_1} \\ \hline s_0 & \mathbf{s_2} \\ \hline s_2 & \mathbf{s_0} \\ \hline \end{array}$$
$$\begin{array}{|c|c|} \hline s_0 & 00 \\ \hline s_2 & 10 \\ \hline \end{array} \bowtie \begin{array}{|c|c|c|c|} \hline s_0 & s_1 & 00 & 01 \\ \hline s_0 & s_2 & 00 & 10 \\ \hline s_1 & s_0 & 01 & 00 \\ \hline s_1 & s_2 & 01 & 10 \\ \hline s_2 & s_0 & 10 & 00 \\ \hline \end{array} = \begin{array}{|c|c|c|c|} \hline s_0 & s_1 & 00 & 01 \\ \hline s_0 & s_2 & 00 & 10 \\ \hline s_2 & s_0 & 10 & 00 \\ \hline \end{array}$$

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$$\begin{array}{|c|} \hline s_0 00 \\ \hline s_2 10 \\ \hline \end{array} \bowtie \begin{array}{|c|} \hline s_0 s_1 00 01 \\ \hline s_0 s_2 00 10 \\ \hline s_1 s_0 01 00 \\ \hline s_1 s_2 01 10 \\ \hline s_2 s_0 10 00 \\ \hline \end{array} = \begin{array}{|c|} \hline s_0 s_1 00 01 \\ \hline s_0 s_2 00 10 \\ \hline s_2 s_0 10 00 \\ \hline \end{array} \begin{array}{|c|} \hline x_0 x_1 \\ \hline 00 \\ \hline 01 \\ \hline 10 \\ \hline 11 \\ \hline \end{array} \begin{array}{|c|} \hline x_0 x_1 x'_0 x'_1 \\ \hline 0000 \\ \hline 0001 \\ \hline 0010 \\ \hline 0011 \\ \hline 0100 \\ \hline 0101 \\ \hline 0110 \\ \hline 0111 \\ \hline 1000 \\ \hline 1001 \\ \hline 1010 \\ \hline 1011 \\ \hline 1100 \\ \hline 1101 \\ \hline 1110 \\ \hline 1111 \\ \hline \end{array} \bowtie \begin{array}{|c|} \hline x_0 x_1 x'_0 x'_1 \\ \hline 0000 \\ \hline 0001 \\ \hline 0010 \\ \hline 0011 \\ \hline 0100 \\ \hline 0101 \\ \hline 0110 \\ \hline 0111 \\ \hline 1000 \\ \hline 1001 \\ \hline 1010 \\ \hline 1011 \\ \hline 1100 \\ \hline 1101 \\ \hline 1110 \\ \hline 1111 \\ \hline \end{array} = \begin{array}{|c|} \hline x_0 x_1 x'_0 x'_1 \\ \hline 0001 \\ \hline 0010 \\ \hline 1000 \\ \hline \end{array} \begin{array}{|c|} \hline 1 \\ \hline 1 \\ \hline 1 \\ \hline \end{array}$$

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# Representation of Sets as Formulas

## state sets

those  $\frac{2^{|X|}}{2}$  states where  $x$  is true

$\overline{E}$  (complement)

$E \cup F$

$E \cap F$

$E \setminus F$  (set difference)

the empty set  $\emptyset$

the universal set

## question about sets

$E \subseteq F?$

$E \subset F?$

$E = F?$

## formulas over $X$

$x \in X$

$\neg E$

$E \vee F$

$E \wedge F$

$E \wedge \neg F$

$\perp$  (constant *false*)

$\top$  (constant *true*)

## question about formulas

$E \models F?$

$E \models F$  and  $F \not\models E?$

$E \models F$  and  $F \models E?$

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# Sets (of states) as formulas

## Formulas over $X$ represent sets

$a \vee b$  over  $X = \{a, b, c\}$

represents the **set**  $\overset{abc}{\{010, 011, 100, 101, 110, 111\}}$ .

## Formulas over $X \cup X'$ represent binary relations

$a \wedge a' \wedge (b \leftrightarrow b')$  over  $X \cup X'$  where  $X = \{a, b\}$ ,  $X' = \{a', b'\}$

represents the **binary relation**  $\{(\overset{ab}{10}, \overset{a'b'}{10}), (11, 11)\}$ .

Valuations  $\overset{ab a'b'}{1010}$  and  $1111$  of  $X \cup X'$  can be viewed respectively as **pairs of valuations**  $(\overset{ab}{10}, \overset{a'b'}{10})$  and  $(11, 11)$  of  $X$ .

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# Relation Operations

<b>relation operation</b>	<b>logical operation</b>
projection	abstraction
join	conjunction

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# Normal Forms

normal form	reference	comment
NNF Negation Normal Form		
DNF Disjunctive Normal Form		
CNF Conjunctive Normal Form		
BDD Binary Decision Diagram	[Bry92]	most popular
DNNF Decomposable NNF	[Dar01]	more compact

Darwiche's terminology: knowledge compilation languages [DM02]

## Trade-off

- more compact  $\mapsto$  less efficient operations
- But, “more efficient” is in the size of a correspondingly inflated formula. (Also more efficient in terms of wall clock?)  
BDD-SAT is  $\mathcal{O}(1)$ , but e.g. translation into BDDs is (usually) far less efficient than testing SAT directly.

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# Complexity of Operations

Operations offered e.g. by BDD packages:

	$\vee$	$\wedge$	$\neg$	$\phi \in \text{TAUT?}$	$\phi \in \text{SAT?}$	$\phi \equiv \phi'?$
NNF	poly	poly	poly	co-NP-hard	NP-hard	co-NP-hard
DNF	poly	exp	exp	co-NP-hard	in P	co-NP-hard
CNF	exp	poly	exp	in P	NP-hard	co-NP-hard
BDD	exp	exp	poly	in P	in P	in P

## Remark

*For BDDs one  $\vee/\wedge$  is polynomial time/size (size is doubled) but repeated  $\vee/\wedge$  lead to exponential size.*

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# Existential and Universal Abstraction

## Definition

**Existential abstraction** of a formula  $\phi$  with respect to  $x \in X$ :

$$\exists x.\phi = \phi[\top/x] \vee \phi[\perp/x].$$

Universal abstraction is defined analogously by using conjunction instead of disjunction.

## Definition

**Universal abstraction** of a formula  $\phi$  with respect to  $x \in X$ :

$$\forall x.\phi = \phi[\top/x] \wedge \phi[\perp/x].$$

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# $\exists$ -Abstraction

## Example

$$\begin{aligned} & \exists b.((a \rightarrow b) \wedge (b \rightarrow c)) \\ &= ((a \rightarrow \top) \wedge (\top \rightarrow c)) \vee ((a \rightarrow \perp) \wedge (\perp \rightarrow c)) \\ &\equiv c \vee \neg a \\ &\equiv a \rightarrow c \end{aligned}$$

$$\begin{aligned} \exists ab.(a \vee b) &= \exists b.(\top \vee b) \vee (\perp \vee b) \\ &= ((\top \vee \top) \vee (\perp \vee \top)) \vee ((\top \vee \perp) \vee (\perp \vee \perp)) \\ &\equiv (\top \vee \top) \vee (\top \vee \perp) \equiv \top \end{aligned}$$

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# $\forall$ and $\exists$ -Abstraction in Terms of Truth-Tables

$\forall c$  and  $\exists c$  correspond to **combining lines** with the same valuation for variables other than  $c$ .

## Example

$$\exists c.(a \vee (b \wedge c)) \equiv a \vee b$$

$$\forall c.(a \vee (b \wedge c)) \equiv a$$

$a$	$b$	$c$	$a \vee (b \wedge c)$	$a$	$b$	$\exists c.(a \vee (b \wedge c))$	$a$	$b$	$\forall c.(a \vee (b \wedge c))$
0	0	0	0	0	0	0	0	0	0
0	0	1	0	0	0	0	0	0	0
0	1	0	0	0	1	1	0	1	0
0	1	1	1	0	1	1	0	1	0
1	0	0	1	1	0	1	1	0	1
1	0	1	1	1	0	1	1	0	1
1	1	0	1	1	1	1	1	1	1
1	1	1	1	1	1	1	1	1	1

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# Encoding of Actions as Formulas

Let  $X$  be the set of all state variables. An action  $a$  corresponds to the conjunction of **the precondition**  $P_j$  and

$$x' \leftrightarrow F_i(X)$$

for all  $x \in X$ . Denote this by  $\tau_X(a)$ .

## Example (move-from-A-to-B)

$$atA \wedge (atA' \leftrightarrow \perp) \wedge (atB' \leftrightarrow \top) \wedge (atC' \leftrightarrow atC) \wedge (atD' \leftrightarrow atD)$$

This is exactly the same as in the SAT case, except that we have  $x$  and  $x'$  instead of  $x@t$  and  $x@(t + 1)$ .

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# Computation of Successor States

Let

- $X = \{x_1, \dots, x_n\}$ ,
- $X' = \{x'_1, \dots, x'_n\}$ ,
- $\phi$  be a formula over  $X$  that represents a set  $T$  of states.

## Image Operation

The **image**  $\{s' \in S \mid s \in T, sas'\}$  of  $T$  with respect to  $a$  is

$$\text{img}_a(\phi) = (\exists X. (\phi \wedge \tau_X(a)))[X/X'].$$

The renaming is necessary to obtain a formula over  $X$ .

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# Computation of Predecessor States

Let

- $X = \{x_1, \dots, x_n\}$ ,
- $X' = \{x'_1, \dots, x'_n\}$ ,
- $\phi$  be a formula over  $X$  that represents a set  $T$  of states.

## Preimage Operation

The **pre-image**  $\{s \in S \mid s' \in T, sas'\}$  of  $T$  with respect to  $a$  is

$$\text{preimg}_a(\phi) = (\exists X'. (\phi[X'/X] \wedge \tau_X(a))).$$

The renaming of  $\phi$  is necessary so that we can start with a formula over  $X$ .

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# Engineering Efficient Planners

- Gap between Theory and Practice large: engineering details of implementation **critical** for performance in current planners.
- Few of the most efficient planners use textbook methods.
- **Explanations** for the observed differences between planners lacking: this is more art than science.

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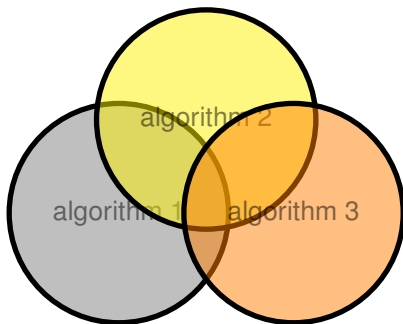
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# Algorithm Portfolios

- Algorithm portfolio = combination of two or more algorithms
- Useful if there is no single “strongest” algorithm.



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### Composition methods:

- **selection** = choose one, for the instance in question
- **parallel** composition = run components in parallel
- **sequential** composition = run consecutively, according to a schedule

Examples: BLACKBOX [KS99], FF [HN01], LPG [GS02] (all use sequential composition)

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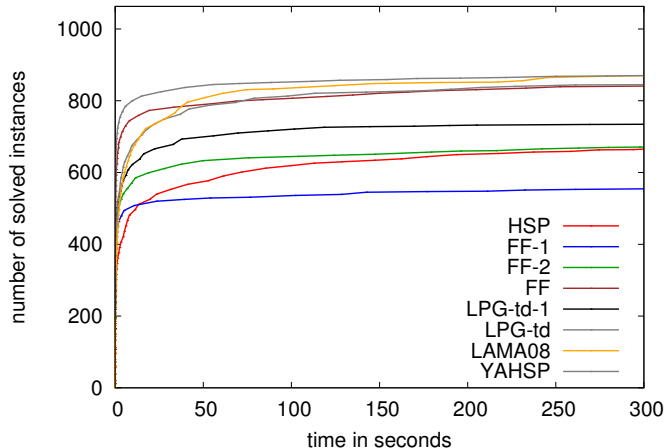
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# Algorithm Portfolios

An Illustration of Portfolios

STRIPS instances



FF = FF-1 followed by FF-2

LPG-td = LPGT-td-1 followed by FF-2

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# Evaluation of Planners

Evaluation of planning systems is based on

- Hand-crafted problems (from the planning competitions)
  - This is the most popular option.
  - + Problems with (at least moderately) different structure.
    - Real-world relevance mostly low.
    - Instance generation uncontrolled: not known if easy or difficult.
    - Many have a similar structure: objects moving in a network.
- Benchmark sets obtained by translation from other problems
  - graph-theoretic problems: cliques, colorability, ... [PMB11]
- Instances sampled from **all instances** [Byl96].
  - + Easy to control problem hardness.
    - No direct real-world relevance (but: core of any “hard” problem)

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# Sampling from the Set of All Instances

[Byl96, Rin04c]

- Generation:
  - 1 Fix number  $N$  of state variables, number  $M$  of actions.
  - 2 For each action, choose preconditions and effects **randomly**.
- Has a **phase transition** from unsolvable to solvable, similarly to SAT [MSL92] and connectivity of **random graphs** [Bol85].
- Exhibits an **easy-hard-easy** pattern, for a fixed  $N$  and an increasing  $M$ , analogously to SAT [MSL92].
- Hard instances roughly at the 50 per cent solvability point.
- Hardest instances are **very hard**: 20 state variables too difficult for many planners, as their heuristics don't help.

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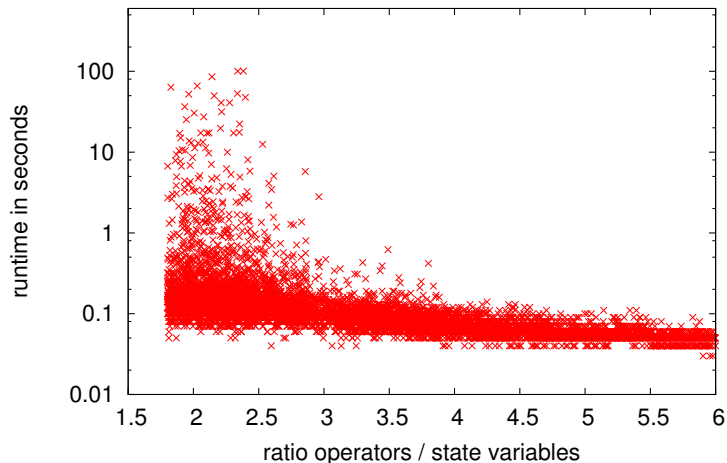
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# Sampling from the Set of All Instances

Experiments with planners

Model A: Distribution of runtimes with SAT



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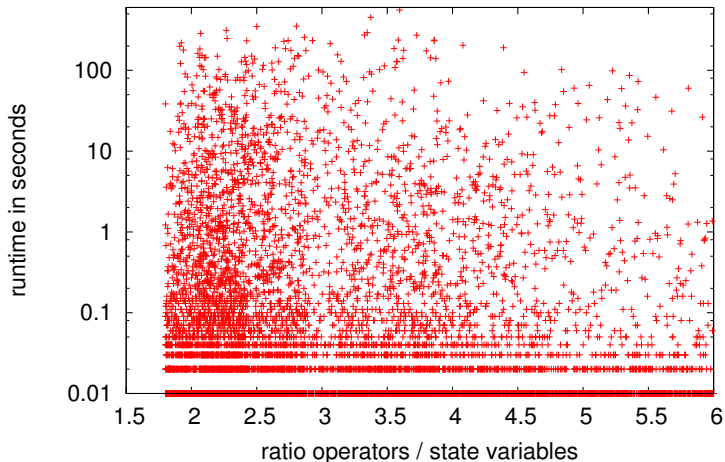
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Model A: Distribution of runtimes with FF



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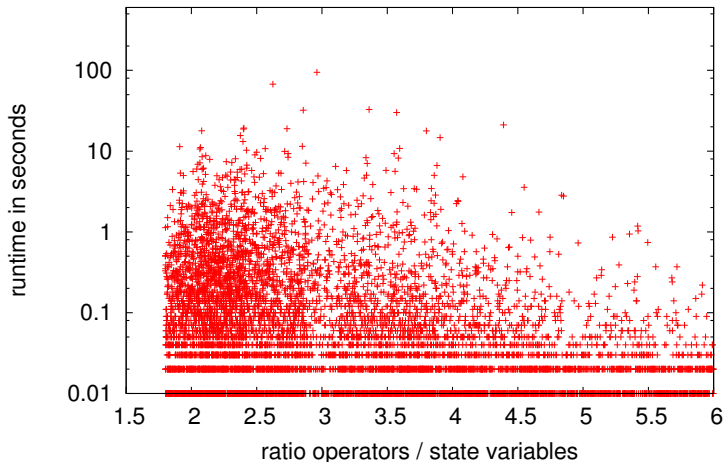
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# Sampling from the Set of All Instances

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Model A: Distribution of runtimes with HSP



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